

1 **Heat stress in dairy calves**

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7

8 **Abstract**

9 The current knowledge on the consequences of heat stress in dairy calves is collected in this
10 research reflection. Authors are describing the indicators of heat stress in the prenatal and
11 preweaning period, discuss the term thermoneutral zone and the possible methods for measurements
12 of environmental heat load, and collect the existing techniques to decrease heat load in calves.
13 Based on the recent literature several conclusions for further research are also phrased in relation
14 with economic efficiency, improved methodology and taking into account the effects of maternal
15 heat stress when evaluating calf performance in the preweaning period.

16

17 **Keywords:** dairy calves, heat stress

18

19 Heat stress is one of the main challenges facing the dairy industry. Physiological and behavioural
20 coping mechanisms of lactating dairy cows are well documented (Polsky and von Keyserlingk,
21 2017)□, however, the thermal status of calves kept outdoors from birth to weaning gets less
22 attention from a scientific (Roland et al., 2016)□, and even more so from a management standpoint.
23 The aim of this research reflection is to collect recent literature about the effects of heat stress and
24 the methods of heat alleviation in dairy calves, focusing mainly on Holstein friesian breed in the
25 preweaning period. Current knowledge on animal based and environmental indicators of heat stress
26 and efficiency of heat abatement are discussed and targets of future research are proposed.

27

28 **Indicators of heat stress in the prenatal period**

29

30 There is growing evidence that the uterine environment of pregnant cows exposed to heat stress in
31 the dry period can convey an indirect effect of environmental stress and evoke adaptive mechanisms
32 in the calf fetus that have prolonged effects in the postnatal period, a concept often called 'fetal
33 programming'. Maternal heat stress effects on the growing fetus have been extensively studied by
34 researchers at the Calf Unit of University of Florida (Gainesville, USA). In the recent years, the
35 research group have focused on elucidating the adaptive responses of the fetus in detail.

36

37 **Lower birth weight and shorter mature height.** Fetal growth is compromised due to
38 hyperthermia-induced placental insufficiency, involving reduced placenta size and impaired
39 vascularization that limits maternal-fetal exchange of oxygen and nutrients. Moreover, even a few
40 days reduction in gestation length, as it is often seen in times of heat stress, shortens the period of
41 rapid fetal growth and thus reduces calf birth weight. Weaning weight of calves from dams exposed
42 to heat stress was lower than that from cooled dams, but pre-weaning weight gain, and weight in the
43 prepubertal period was not different (Tao et al., 2012; Monteiro et al., 2014)□. Despite the
44 postpubertal rebound in weight gain, mature height of calves born from heat stressed dams does not
45 reach that of calves born from cooled dams (Monteiro et al., 2014)□.

46

47 **Metabolic shift.** Besides insufficient uterine supply of nutrients, the heat exchange between the
48 dam and the fetus – which has double the metabolic rate as the mother – is also impaired, which can
49 result in fetal hyperthermia. As seen in the sheep model, the fetus might develop adaptive
50 mechanisms like reduced protein accretion in favor of hepatic gluconeogenesis and impaired insulin
51 action at the expense of growth. Calves born to heat stressed dams responded to similar diets with
52 higher circulating insulin concentrations in the first 7 days of life, than calves born to cooled dams
53 (Tao and Dahl, 2013)□, suggesting a carryover effect of maternal heat stress. Freshly weaned calves
54 born from cows noncooled in the dry period showed similar pancreatic insulin sensitivity and
55 systemic insulin clearance but a more rapid glucose clearance during both a glucose tolerance test
56 and an insulin challenge, than that of calves born from cows cooled in the dry period (Tao et al.,
57 2014)□. Dahl et al. (2016)□ concluded that on the basis of evidence from sheep models and the
58 previously mentioned studies on growth rate, calves heat stressed in utero are prone to develop
59 smaller mature body size and greater fat deposition, compared with calves from cooled cows.

60

61 **Impaired immune function.** Lower serum IgG concentrations and apparent efficiency of IgG
62 absorption was observed in calves from heat-stressed dams relative to calves from cooled dams, in
63 the first 28 days of life. Heat stress in late gestation has no apparent effect on IgG content of
64 colostrum, thus impaired IgG absorption is due to deficiency of passive transfer in calves heat
65 stressed in utero (Tao et al., 2012; Monteiro et al., 2014)□. Monitoring peripheral blood
66 mononuclear cell proliferation until 56 days of age, it was observed that proliferation rate was lower
67 in calves born from heat stressed dams, as compared to the offspring of cooled dams, however,
68 antibody production in an ovalbumin challenge at 28 days of age was similar in both groups.

69

70 **Indicators of heat stress in the postnatal period.** As subtle differences in the uterine environment
71 of cooled and noncooled pregnant cows can induce prolonged effects in the calf fetus, severe heat
72 load experienced after birth may also affect performance in the rearing period. However, the term
73 heat stress is used quite loosely and defining it in the sense of the amount of strain that
74 environmental conditions impose on dairy calves seems challenging. As opposed to dairy cows, no
75 clearly defined thresholds of biological or environmental indicators that necessitate cooling
76 interventions are determined for dairy calves. The animal-based indices of assessing thermal status
77 proposed in the literature are discussed below.

78
79 **Acute stress response parameters.** Heart rate variability analysis of calves exposed to high solar
80 radiation confirmed a higher sympathetic tone on the basis of decreased RMSSD values (Kovács et
81 al., 2018c)□. Endocrine changes also suggest an increased level of stress due to heat exposure.
82 Elevated salivary and cortisol concentrations were measured in preweaned calves suggest increased
83 level of stress (López et al., 2018; Kovács et al., 2019)□. Plasma triiodothyronine and
84 tetraiodothyronine concentrations were lower due to heat stress (López et al., 2018)□. Exposure to
85 37 °C in 4-6 months-old bull calves for 12h activated heat shock factors and the upregulation of
86 heat shock proteins acting as molecular chaperones assisting in protein folding, factors involved in
87 immune response, and cell cycle inhibition (Srikanth et al., 2017)□, in accordance with an earlier
88 review on the genes involved in the heat stress response of bovine mammary cells (Collier et al.,
89 2008).

90
91 **Behavioural responses.** Behavioural thermoregulation is the first sign of thermal discomfort:
92 calves seek shade, change posture, align their body away from the sun, reduce locomotion during
93 the hottest hours of the day and bunch to seek shade from other animals, as reviewed by Roland et
94 al., (2016). Frequency of changing posture is reduced in a hot environment as a sign of discomfort
95 (Kovács et al., 2018a)

96
97 **Increased respiratory rates.** Evaporative heat loss is promoted by increased respiratory frequency.
98 Textbooks, publications and online guides describe rates of 20-40 to even 50-70 breaths/min as
99 normal (Rosenberg, 1979; Piccione et al., 2003). Studies on adaptive responses of calves in
100 moderate/shaded vs. hot/noncooled thermal environments have reported a change in the average
101 respiratory rates from 47 to 53 (Lima et al., 2013), from 50-78 to 73-105 (Peña et al., 2016)□ or
102 from 30-50 to 70-140 (Kovács et al., 2018b). Despite obvious numerical differences in baseline
103 values, a relative increase in respiration rate of approx. 50% was considered a sign of increased
104 efforts of evaporative heat loss in all relevant studies. However, heavier breathing is triggered by an

105 increase in ambient and consequently body surface temperature, preceding an actual rise in core
106 body temperature, which has to be taken into account when assessing heat stress status.

107

108 **Elevated rectal temperature.** To characterize a calf in thermoneutrality, that is maintaining normal
109 body temperature without increased efforts of heat dissipation or heat production, most sources
110 consider 38,5 – 39,1(39,5) °C as the range of healthy body temperature in calves (Rosenberg, 1979;
111 Piccione et al., 2003)□. Consistently, studies on calves exposed to high ambient temperatures report
112 on maximal body temperatures of 39,7 °C (Lima et al., 2013), 40,1 °C (Peña et al., 2016)□, 40,4 °C
113 (Kovács et al., 2018b), and 39,8 °C (Hill et al., 2016).

114

115 **Water consumption.** Water requirement is elevated in the hot periods of the year (Broucek et al.,
116 2009)□, as calves may lose water via increased rate of respiration and sweating. It was shown by a
117 field study that water intake increased almost 4-fold, from 1.4 l/day to around 4 l/day, beside the
118 milk consumption, as the ambient temperature elevated from 0 to 35 °C (Quigley, 2001).

119

120 **Early mortality.** The biological cost of adaptation to prolonged severe heat exposure can impact
121 calf welfare and profitability of rearing. Elevated ambient temperature, especially in calves housed
122 outdoors proved to be a risk factor for early calf mortality in veal calves (Renaud et al., 2018).
123 Extreme heat waves can cause an excess death of different cattle subpopulations, including dairy
124 calves, as it was analyzed by Morignat et al., (2014). The research data are inconsistent, as others
125 (Mellado et al., 2014) showed a higher mortality of day old Holstein calves in moderate conditions,
126 as compared to the hot season.

127

128 **Weight gain.** Only a very few number of studies are available on the effect of season on growth
129 rate in preweaned calves, yet, they are all consistent in the finding of lower average daily weight
130 gain in seasons with higher ambient temperature (Donovan et al., 1998; Broucek et al., 2009; López
131 et al., 2018)□. The reduced growth rate is attributed mainly to reduced starter intake in the hottest
132 periods of the year (Bateman et al., 2012; Holt, 2014).

133

134 **Thermoneutral zone and measurements of environmental heat load.** There is far more
135 information on the lower critical temperature and effects of cold on calf welfare than there is on the
136 upper critical temperature of calves. Spain and Spiers, (1996) observed respiratory rates to be
137 increased at 26 °C, which seems to be accepted in most of the studies as an upper limit of the
138 thermal comfort zone (Holt, 2014)□. Ambient temperature is accepted to be a sole and reliable
139 indicator of thermal environment of calves in most heat stress studies. Attempts have been made,

140 however, to adopt other indices, like the temperature humidity index (THI), which is often used in
141 studies on lactating adult cattle. In dairy cows, much is known about how relative humidity affects
142 evaporative heat loss capacity, and that knowledge is incorporated into the THI, the weighted
143 estimator of heat load. In dairy cows, the THI shows strong correlation to biomarkers of heat stress
144 (Bouraoui et al., 2002; Dikmen and Hansen, 2009; Bernabucci et al., 2010). Peña et al., (2016)□
145 used THI for assessing heat load in dairy calves reared in a subtropical climate, however, due to the
146 fact that little is known on how relative humidity affects heat dissipation of dairy calves, and that
147 THI seems oversimplified in outdoor conditions, where most calves are reared, the reliability of a
148 direct adoption of THI formulas and thresholds originally adapted for cattle is limited. In outdoor
149 conditions, where dry bulb temperature does not reflect the enormous heat load of direct solar
150 radiation, the use of complex environmental indices encompassing radiant temperature and wind
151 speed was proposed by several researchers (Gaughan et al., 2008; Mader et al., 2010; Hammami et
152 al., 2013).

153

154 **Techniques to decrease heat load in calves.** Most calves are kept in individual hutches with a
155 small outdoor area during the preweaning period, where they are exposed to solar radiation.
156 Polyethylene hutches – even if placed under shade – provide a slightly worse microclimate for the
157 indwelling calf in summer than conventional plywood hutches (Lammers et al., 1996; Peña et al.,
158 2016)□. Rectal temperature and respiration rates were lower in calves housed in plywood hutches
159 as compared to plastic ones, however, no differences in weight gain or general health status were
160 observed when comparing the two housing systems (Lammers et al., 1996; Peña et al., 2016).
161 Practicality of durable and more hygienic plastic hutches won over, making them the most popular
162 type of housing for outdoor reared calves worldwide. Thermal properties of plastic used during the
163 manufacturing of hutches are improving, however it is still necessary to reduce heat load and/or
164 heat absorption of plastic hutches.

165

166 **Increasing air flow.** Increasing air speed could help heat dissipation of the calves, thus better
167 ventilation may be useful. Elevation of the back side of the hutches is showed to increase airspeed,
168 decrease CO₂ concentration within the hutch, and therefore decrease respiration rate of the calves
169 (44 vs 58 compared to the control) (Moore et al., 2012)□.

170

171 **Reflective covers.** Friend et al. (2014) tested differenc reflective materials in their study. Reflective
172 painting was almost ineffective, while aluminized plastic covers were successful in decreasing
173 black globe temperature by 2-4 °C in empty hutches. Carter et al. (2014) found that rate of increase
174 of interior hutch temperature relative to ambient temperature was lower in insulated hutches

175 indicating they were warmer at low THI and cooler at high THI. Increase in respiration rate and ear
176 canal temperature of the calves, relative to THI, were moderated in insulated hutches. Average daily
177 gain did not differ between the groups. The advantages of reflective covers are not clear enough.
178 Manriquez et al. (2018) found that THI and ambient temperature are somewhat higher (68.6 vs.
179 67.6, and 23.2 vs. 22.8 °C, respectively) in the hutches covered with aluminized plastic material.
180 The rectal temperature and respiratory rate were not different in the control and experimental
181 calves. Authors supposed that hutches covered with plastic can not cool down in the evening hours.

182

183 **Shading structures.** Shading seems to be more effective in decreasing exposure to solar radiation,
184 since the results are more consistent in decreasing ambient temperature in the hutch or in the
185 outdoor area under the shade (Coleman et al., 1996; Spain and Spiers, 1996; Gu et al., 2016; Kovács
186 et al., 2019)□. Respiratory rate of calves are usually found to be lower under the shade (Spain and
187 Spiers, 1996; Gu et al., 2016). The comfort behaviour of calves (though buffalo) was also different,
188 since the calves spent more time lying under the shade material (Gu et al., 2016). A very positive
189 side effect of shading is that workers mentioned that they were more comfortable working under the
190 shade (Coleman et al., 1996). The shading material is usually an agricultural net material with 80-
191 85% solar radiation blockage placed around 2 m above the calf pen (Coleman et al., 1996; Spain
192 and Spiers, 1996; Kovács et al., 2019). Other materials such as thatch shading roof or well grown
193 tree can also be effective (Kamal et al., 2014).

194

195 **Conclusions for future research.**

196 **Economical efficiency.** Despite the growing body of evidence of adverse effects of heat stress on
197 dairy calves from as early as the prenatal period, most dairy operations carry on without the least of
198 cooling interventions for dry cows or preweaned calves. Economic quantification of the biological
199 cost of heat stress and analysis of cost-efficiency of cooling technologies could make the results of
200 costly state-of-the-art research methodology practically relevant and more convincing to decision
201 makers, that might speed up the slow progression of paradigm shift in dairy calf management,
202 namely that non-producing animals also require attention.

203

204 **Understanding the basics.** Scarce literature on the upper end of the thermoneutral zone warns that
205 there is room for improvement in understanding thermal requirements and heat dissipation
206 capacities of dairy calves. Indices originally developed for indoor environment, like dry bulb
207 temperature or different formulas of the temperature humidity index can be misleading when
208 assessing the thermal environment of outdoor reared calves. Upper critical thresholds should be
209 formulated in a manner that suits the housing environment of calves. This necessitates a better

210 understanding of how radiant heat, relative humidity and wind speed contribute to thermal load of
211 dairy calves.

212

213 **Integrating the concept of in utero heat stress.** Considering that besides dry cow management,
214 calf rearing is another overlooked area in dairy management, it is worth investigating if we should
215 separate the phenomenon of in utero heat stress from preweaning heat stress, or the two are
216 necessarily intertwined. It would be interesting to study whether postnatal heat exposure without
217 maternal heat stress results in the same adaptive metabolic and immune responses in the young calf
218 as it is observed in the calf fetus. It is also worth investigating whether modified calf management
219 strategies and nutrition in hot conditions could prove useful in mitigating the effects of retarded
220 growth and impaired passive transfer of immunoglobulins in calves at risk.

221

222 **Methodology.** Real-time recording of environmental indices (radiant heat, humidity, wind speed) is
223 feasible and could be easily integrated into precision livestock farming technologies, given that
224 critical thresholds are known (Fournel et al., 2017; Koltes et al., 2018). Automated monitoring of
225 physiological parameters in outdoor kept calves are currently not widely available, due to high costs
226 or a limited time of recording (10-14 days for indwelling thermometers). Respiratory rate can only
227 be measured by labour-intensive visual observation. Development of automated measurement of
228 respiratory rate would improve measurement reliability and facilitate determination of upper critical
229 temperatures.

230

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243

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